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PATENT  
ATTY. DOCKET NUMBER: 20518/10/24.2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Barrus et al.

EXAMINER: Not Yet Assigned

SERIAL NUMBER: 10/016,276

ART UNIT: 3763

FILED: December 6, 2001

TITLE: SAFETY SHIELD FOR MEDICAL NEEDLES

RECEIVED  
JUL 22 2002  
TECHNOLOGY CENTER R3700

Assistant Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT UNDER 37 C.F.R. § 3.73 (b)**  
**ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

**IDENTIFICATION OF ASSIGNEE**

2. Tyco Healthcare Group, LP  
Name of Assignee

CORPORATION  
Type of Assignee

**PERSON AUTHORIZED TO SIGN**

3. Mark S. Leonardo  
(Name of person authorized to sign on behalf of Assignee)

Attorney for Assignee  
Title

**CERTIFICATE OF MAILING under 37 C.F.R. § 1.8**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

By

Mark S. Leonardo

Date


July 16 2002

- ☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the Assignee.

### BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

1. ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at Reel: \_\_\_\_\_, Frame: \_\_\_\_\_.
2. ☐ An assignment (document) separately being submitted for recordal herewith.
3. ☒ An assignment document from the former assignee of the matter identified above, which was filed for recordation at the PTO on May 10, 2002 (a copy of which is attached hereto).


  
(Signature)

Mark S. Leonardo  
(Name of authorized person)

Attorney for Assignee  
Title

Dated: July 10, 2002

Respectfully submitted,

  
Mark S. Leonardo, Esq.  
Reg. No. 41,433

BROWN RUDNICK BERLACK ISRAELS LLP  
One Financial Center  
Boston, Massachusetts 02111  
Customer No. 21710  
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FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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P08/REV02

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## PATENTS ONLY

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**SAFETY SYRINGE CORPORATION**Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **April 24, 2002**

2. Name and address of receiving party(ies):

Name: **TYCO HEALTHCARE GROUP LP**

Internal Address: \_\_\_\_\_

Street Address: **15 Hampshire Street**City: **Mansfield** State: **MA** ZIP: **02048**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**60/254,506      10/016,276**  
**60/275,810**  
**60/296,968**  
**09/619,190**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Mark S. Leonardo**Internal Address: **18th Floor, Box IP****Boston Rudnick Berlack Israels LLP**Street Address: **One Financial Center**City: **Boston** State: **MA** ZIP: **02111**6. Total number of applications and patents involved: **5**7. Total fee (37 CFR 3.41):.....\$ **200.00**

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to be charged to deposit account

8. Deposit account number:

**50-0369**

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Mark S. Leonardo, Esq. (Reg. No. 41,433)**

Name of Person Signing

Signature

**May 10, 2002**

Date

Total number of pages including cover sheet, attachments, and document: **2**

## ASSIGNMENT

SAFETY SYRINGE CORPORATION (the Assignor), a corporation of the State of Utah and wholly owned subsidiary of Specialized Health Products International, Inc. having an office at 585 West 500 South, Bountiful, Utah 84010 currently is assigned the entire right, title and interest to: SAFETY SHIELD FOR MEDICAL NEEDLES, U.S. Provisional App. No. 60/254,506, Filed December 8, 2000; PASSIVELY ACTUATED SAFETY NEEDLE DEVICES AND METHODS, U.S. Provisional Patent App. No. 60/275,810, Filed March 14, 2001; SAFETY SHIELD FOR MEDICAL NEEDLES, U.S. Provisional Patent App. No. 60/296,968, Filed June 8, 2001; MEDICAL NEEDLE SAFETY APPARATUS AND METHODS, U.S. Patent App. No. 09/619,190, Filed July 19, 2000; SAFETY SHIELD FOR MEDICAL NEEDLES, U.S. Patent App. No. 10/016,276, Filed December 6, 2001; and SAFETY SHIELD FOR MEDICAL NEEDLES, PCT App. No. PCT/US01/48125, Filed December 6, 2001, hereinafter called the "inventions."

TYCO HEALTHCARE GROUP LP (the Assignee), a Delaware Partnership having an office at 15 Hampshire Street, Mansfield, Massachusetts 02048, desires to secure the entire right, title and interest in said invention.

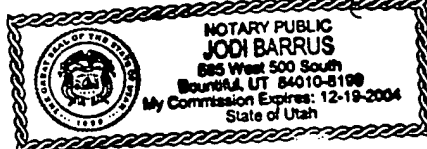
In consideration of \$1.00 and other goods and valuable considerations paid to the Assignor by the Assignee, the receipt and sufficiency of which is hereby acknowledged, IT IS HEREBY ASSIGNED TO THE ASSIGNEE:

The entire right, title and interest in said inventions and reissues or extension of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

It is hereby authorized and requested that the United States Commissioner of Patents and such Patent Office Officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents of said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

This assignment and agreement shall be binding upon the heirs and legal representatives of the Assignor.

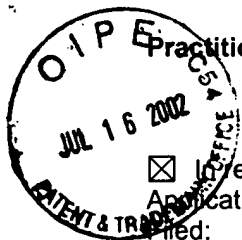
STATE OF Utah )  
 : ss.  
COUNTY OF Davis )



On April 24, 2002, before me personally appeared Paul Evans known to me to be the person described and who signed the annexed assignment in my presence and acknowledge under oath before me that he has read the same and knows the contents thereof and that he executed the same as his free act and deed and for the purposes set forth therein.

(SEAL)

Jodi Barrus  
Notary Public



Practitioner's Docket No. 20518/10/24.2

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

☒ I file application of: **Barrus et al.**

Application No.: **10/016,276**

Group No. **3763**

Filed: **December 6, 2001**

Examiner: **Not Yet Assigned**

For: **SAFETY SHIELD FOR MEDICAL NEEDLES**

☐ Patent No.:

Issued:

\*NOTE. Insert name of inventor(s) and title also for patent. Where notification is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS  
(37 C.F.R. § 1.27(g)(2))**

NOTE: "Notification of loss of entitlement to small entity status is required when issue and maintenance fees are due. Notification of a loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity as defined in paragraph (a) of this section is no longer appropriate. The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b). Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate." 37 C.F.R. § 1.27(g)(2).

Note: From the above portion of 37 C.F.R. § 1.27(g)(2), it is only a change in status "resulting in loss of entitlement to small entity status" that must be filed, and a change from one small entity status to another small entity status requires no notification.

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

**37 C.F.R. § 1.8(a)**

**37 C.F.R. § 1.10**

☒ with sufficient postage as first class mail

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Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Date:

7/10/02

Signature

Michelle A. Phinney

Michelle A. Phinney

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timelines. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

JUL 22 2002  
TECHNOLOGY CENTER R3700

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity, and that the assertion for small entity status, set forth

(complete a and/or b as applicable)

a. ☐ by paying the filing fee as a small entity on \_\_\_\_\_ (Date)

b. ☒ by the papers filed on July 10, 2002 (Date)

NOTE: "The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b)." 37 C.F.R. § 1.27(g)(2).

35 C.F.R. § 1.33(b):

"(b) Amendments and other papers. Amendments and other papers, except for written assertions pursuant to § 1.27(c)(2)(ii) of this part, filed in the application must be signed by:

(1) A registered attorney or agent of record appointed in compliance with § 1.34(b);

(2) A registered attorney or agent not of record who acts in a representative capacity under the provisions of § 1.34(a);

(3) An assignee as provided for under § 3.71(b) of this chapter; or

(4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter.

Date: 7/10/2002

Mark S. Leonardo

(print or type name of person signing)

  
Mark S. Leonardo, Esq. (Reg. No. 41,433)  
Customer No. 21710  
Brown Rudnick Berlack Israels LLP  
One Financial Center  
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Phone (617) 856-8145  
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mleonardo@brbilaw.com

- ☐ Inventor(s)
- ☐ Assignee(s) of complete interest
- ☒ Person authorized to sign on behalf of assignee
- ☐ Practitioner of record under § 34(b)
- ☒ Filed under § 34(a)  
Registration No: 41,433

(complete the following, if applicable)

Tyco Healthcare Group LP

\_\_\_\_\_  
(type name of assignee)

15 Hampshire Street  
Address of assignee

Mansfield, MA 02048

Attorney For Applicants

Title of person authorized to sign on behalf of assignee

Assignment recorded in PTO (Filed on May 10, 2002)

Reel \_\_\_\_\_ Frame \_\_\_\_\_